



REPORT TO:	OVERVIEW AND SCRUTINY COMMITTEE
DATE:	15 DECEMBER 2011
REPORT OF THE:	HEAD OF TRANSFORMATION CLARE SLATER
TITLE OF REPORT:	RISK STRATEGY ANNUAL REVIEW
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 To present the annual review of the Risk Management Strategy to Members for consideration.

2.0 RECOMMENDATION

- 2.1 It is recommended that Members note the content of the annual review of the Risk Management Strategy and the deletion of the action relating to the appointment of a Member Champion for Risk (ref CSR07).

3.0 REASON FOR RECOMMENDATION

- 3.1 Risk identification and management is an integral element of organisational management to secure the achievement of the Council's corporate objectives. Risk Management should also form a key part of any budget making decisions, other decisions made by Committee and the management of projects and partnerships.

4.0 SIGNIFICANT RISKS

- 4.1 The strategy defines both the process behind risk management and the appetite of the Council to risk.

REPORT

5.0 BACKGROUND AND INTRODUCTION

- 5.1 The Risk Management Strategy was approved by Members in December 2010. The Risk Strategy for the Council is attached at Annex A.
- 5.2 The primary objectives of the strategy are to:-
- Further develop risk management and raise its profile across the Council.

- Integrate risk management further into the organisational culture of service planning and managing performance.
- Further embed risk management through the ownership and management of risk as part of all decision-making processes, both at officer and member level.
- Manage risk in accordance with best practice.
- Create effective processes that will allow the council to produce risk management assurance statements annually.

6.0 POLICY CONTEXT

6.1 Risk management is essential to ensuring the delivery of the Council Plan and also maintaining effective governance arrangements. Following the demise of the Comprehensive Area Assessment inspection regime, the current interim arrangements for external audit are risk based and it is anticipated that any future external audit arrangements will continue to be so.

7.0 CONSULTATION

7.1 The Risk Management Strategy is developed and managed by the Council's Management Team. All service areas are therefore involved in its development through the Corporate Planning Framework.

8.0 REPORT DETAILS

8.1 Progress achieved against the action plan for effective Risk Management is as follows:

- All of the actions identified in the plan have been delivered, including reporting and challenge of risk management arrangements and training of staff and members in relation to risk
- The one action which should be deleted from the plan is that of appointing a member champion for risk. At their meeting of annual council members did not agree to the appointment of a Member Champion for Risk, following a review of the member champion roles and responsibilities.

8.2 The annual plan for Risk reporting to Overview and Scrutiny Committee has been fully delivered this year.

9.0 IMPLICATIONS

9.1 The following implications have been identified:

- a) Financial
The role of supporting Risk Management within the Council is now being undertaken by members of the Transformation Team. This results in a financial saving to the Council and will facilitate improved integration of Risk management into service planning, performance management, project management and management of significant partnerships.
- b) Legal
None.
- c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)
None.

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Background Papers:

Council Plan 2009-13
Annual Governance Statement
Risk Registers:

- Corporate Risk Register
- Service Risk Registers
- Significant Partnerships Risk Register

Background Papers are available for inspection at:

Covalent
www.ryedale.gov.uk